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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/781,336

02/17/2004

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2110-107-3

9427

996 7590 02/13/2009

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EXAMINER

DO, CHAT C

ART UNIT

PAPER NUMBER

2193

MAIL DATE

DELIVERY MODE

02/13/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/781,336	Applicant(s) SAHA ET AL.	
	Examiner Chat C. Do	Art Unit 2193	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 20 November 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 5-8 is/are allowed.
- 6) ☒ Claim(s) 1,2,9-13,16,17 and 20-23 is/are rejected.
- 7) ☒ Claim(s) 3,4,14,15,18 and 19 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This communication is responsive to Amendment filed 11/20/2008.
2. Claims 1-23 are pending in this application. Claims 1, 5, 9, 16 and 21 are independent claims. This Office Action is made final.

Claim Objections

3. Claims 1 and 5 are objected to because of the following informalities:

Re claims 1 and 5, the applicant is requested to rewrite the term “FFT/IFFT” in full for clarification purposes.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-2, 9-10, 16-17, and 20-23 are rejected under 35 U.S.C. 102(b) as being anticipated by Laxmi et al. (“Performance Analysis of FFT Algorithms on Multiprocessor Systems”).

Re claim 1, Laxmi et al. disclose in pages 512-521 a method for controlling processing elements in a multiprocessor architecture to provide improve throughput for

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FFT/IFFT computations (e.g. introduction section in page 512), the method comprising the steps of: computing, on a multiprocessor architecture including "P" processing elements (e.g. Figure 1), each butterfly of the first " $\log_{2} \text{sub.} 2P$ " stages of an FFT/IFFT on either a single one of the processing elements or on each of the "P" processing elements simultaneously (e.g. Figure 2, wherein $P = N/P$ as seen in section II "Radix-2 FFT computation" in right column page 513, particularly step 1), and distributing the computation of the butterflies in all the subsequent stages of the FFT/IFFT among the "P" processing elements such that each chain of cascaded butterflies consisting of those butterflies that have inputs and outputs connected together, are processed by the same processing element (e.g. Figure 2, section II "Radix-2 FFT computation" in right column page 513, particularly steps 2-3) to thereby eliminate the need for inter-processor communication after the computation of the first " $\log_{2} \text{sub.} 2P$ " stages (e.g. first two stage of Figure 2 as $\log_{2}(4) = 2$).

Re claim 2, Laxmi et al. further disclose in pages 512-521 the distributing of the computation of the butterflies subsequent to the first " $\log_{2} \text{sub.} 2P$ " butterflies is achieved by assigning operand addresses of each set of butterfly operands to each processing element in such a manner that the butterfly is processed by the same processing element that computed the connected butterfly of the previous stage in the same chain of butterflies (e.g. Figure 2, section II "Radix-2 FFT computation" in right column page 513, particularly steps 2-3 and first paragraph of right column in page 514).

Re claim 9, it has similar limitations cited in claim 1. Thus, claim 9 is also rejected under the same rationale as cited in the rejection of rejected claim 1.

Re claim 10, Laxmi et al. further disclose in pages 512-521 the first $\log_2(P)$ stages of the transform are calculated on all of the processors operating in parallel (e.g. Figure 2 and first paragraph of right column in page 514).

Re claim 16, Laxmi et al. disclose in pages 512-521 a processor system, comprising: a memory operable to store samples of an input signal (e.g. M boxes in Figure 1a); a plurality of processors couple to the memory (e.g. P boxes in Figure 1a), the plurality of processors operable to receive the samples from the memory and to use the samples to execute to the butterfly computational blocks for the first " $\log_2(P)$ " stages of a fast Fourier transform or inverse Fast Fourier on either a single one of the processors or on a plurality of the processors operating in parallel (e.g. Figure 2, wherein $P = N/P$ as seen in section II "Radix-2 FFT computation" in right column page 513, particularly step 1); and address circuitry coupled to the memory and processor and operable to distribute the computation of the butterfly computational blocks in all stages subsequent to the first $\log_2(P)$ states among the plurality of processors such that each chain of cascaded butterfly computational blocks in the transform are coupled in series and are computed by the same processor (e.g. Figure 2, section II "Radix-2 FFT computation" in right column page 513, particularly steps 2-3) to thereby eliminate the need for inter-processor communication after the computation of the first " $\log_2(P)$ " stages (e.g. first two stage of Figure 2 as $\log_2(4) = 2$).

Re claim 17, it has similar limitations cited in claim 13. Thus, claim 17 is also rejected under the same rationale as cited in the rejection of rejected claim 13.

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Re claim 20, Laxmi et al. further disclose in pages 512-521 each of the processors comprises a digital signal processor (e.g. introduction section in page 512).

Re claim 21, it has similar limitations cited in claim 16. Thus, claim 21 is also rejected under the same rationale as cited in the rejection of rejected claim 16.

Re claim 22, Laxmi et al. further disclose in pages 512-521 the electronic system comprises a communications system (e.g. abstract and introduction sections in page 512).

Re claim 23, it has similar limitations cited in claim 20. Thus, claim 23 is also rejected under the same rationale as cited in the rejection of rejected claim 20.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 11-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Laxmi et al. ("Performance Analysis of FFT Algorithms on Multiprocessor Systems").

Re claim 11, Laxmi et al. further disclose in pages 512-521 the method is performed on two processors (e.g. last paragraph left column and first paragraph right column in page 514 wherein $P = 2$), and wherein the first two stages of a radix-2 fast Fourier transform or inverse fast Fourier transform are calculated, and wherein the subsequent stages of the transform are computed as radix-2 stages (e.g. Figure 2 as radix-2). Laxmi et al. fail to disclose in pages 512-521 the first two radix-2 can be performed

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as radix-4. However, the implementation logically and mathematically of radix-4 as two radix-2 or vice versa is well known in the art of technology and widely used. Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to replace the two radix-2 as one radix-4 into Laxmi et al.'s invention because it would enable to increase the system performance by operating the radix-4 in single unit.

Re claim 12, Laxmi et al. further disclose in pages 512-521 chains comprises a single loop that iterates $N/2 * (\log_{\text{sub.2}}(N/2)) / (\text{number of processors})$ times (e.g. Figure 2 and step 3 in the last paragraph right column in page 513).

Re claim 13, Laxmi et al. further disclose in pages 512-521 each butterfly computational block includes a plurality of operands each having an associated address (e.g. fetching data from storage), and wherein calculating chains of butterfly computational blocks corresponding to the subsequent stages comprises assigning addresses to each of the operands so that each butterfly block in a chain is calculated in the same processor (e.g. Figure 2).

Allowable Subject Matter

8. Claims 5-8 are allowed.
9. Claims 3-4, 14-15 and 18-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Response to Arguments

10. Applicant's arguments filed 11/20/2008 have been fully considered but they are not persuasive.

a. The applicant argues in pages 14-15 for claims 1 and 9 rejected under 35 U.S.C. 102(b) that the cited reference by Laxmi et al. fails the limitation of "eliminate the need for inter-processor communication among the processing elements after the computation of the first " $\log_2 P$ " stages of the FFT/IFFT since Laxmi et al. disclose the processing elements start to communicate with each other after $\log_2 N/P$ stages in section II.

The examiner respectfully submits that the argument presented by the applicant refers to Figures 2-3 as the claimed invention which is clearly similar to the NPL's Figure 2. There are two ways of rejecting this limitation: (1) the Examiner equates the $P = N/P$ as seen in section II "Radix-2 FFT computation" in right column page 513, particularly step 1, the intercommunication between processor only occurs right after $(\log_2 P)$ th stage; (2) the claims try to merge all the $\log_2(N/P)$ stages into the $\log_2 P$ stages which can be reasonable interpreted and seen in Figure 2. For examples, Let $N = 16$ and $P = 2$ as seen in the applicant's Figure 2, then $\log_2 P = 1$, but we see no-intercommunication is up to stage 3. Thus, the claimed invention either merges the first three stage as single stage or loosely bound the non-intercommunication between the processors or processing elements which clearly seen either way in Figure 2 by Laxmi.

- b. The applicant argues in page 16 last paragraph for claim 16 that the cited reference by Laxmi does not disclose or suggest the limitations cited in the claim 16.

The examiner respectfully submits that the above rejection, in addition to the response part a, is clearly addressed how each and every limitations of claim 16 is met/anticipated by Laxmi. In particular, Laxmi et al. disclose in pages 512-521 a processor system, comprising: a memory operable to store samples of an input signal (e.g. M boxes in Figure 1a); a plurality of processors couple to the memory (e.g. P boxes in Figure 1a), the plurality of processors operable to receive the samples from the memory and to use the samples to execute to the butterfly computational blocks for the first "log.sub.2P" stages of a fast Fourier transform or inverse Fast Fourier on either a single one of the processors or on a plurality of the processors operating in parallel (e.g. Figure 2, wherein $P = N/P$ as seen in section II "Radix-2 FFT computation" in right column page 513, particularly step 1); and address circuitry coupled to the memory and processor and operable to distribute the computation of the butterfly computational blocks in all stages subsequent to the first log.sub.2P states among the plurality of processors such that each chain of cascaded butterfly computational blocks in the transform are coupled in series and are computed by the same processor (e.g. Figure 2, section II "Radix-2 FFT computation" in right column page 513, particularly steps 2-3) to thereby eliminate the need for inter-processor communication after the computation of the first "log.sub.2P" stages (e.g. first two stage of Figure 2 as $\log_2(4) = 2$).

Conclusion

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHAT C. DO whose telephone number is (571)272-3721. The examiner can normally be reached on Tue-Fri 9:00AM to 7:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lewis Bullock can be reached on (571) 272-3759. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Chat C. Do/
Primary Examiner, Art Unit 2193

February 11, 2009